WH-226 **Application for Authority to Employ Workers** with Disabilities at Subminimum Wages

U.S. Department of Labor

Wage and Hour Division 230 S. Dearborn Street, Room 514 Chicago, IL 60604



OMB NO: 1235-0001 Expires:XX/XX/20XX

This is an application for the authority to employ workers with disabilities at subminimum wage rates under the Fair Labor Standards Act (FLSA), Walsh-Healey Public Contracts Act (PCA), or McNamara-O'Hara Service Contract Act (SCA).

Please submit one copy of the completed form, and any attachments, to the address shown above. Retain a completed copy for your records. A certificate may not be granted by the Department of Labor unless a properly completed application has been received and approved. See 29 C.F.R. part 525.

1. APPLICATION TYPE	USDOL USE ONLY
(a) This is a request for authority to employ workers with disabilities in a (check all boxes that apply):	
Community Rehabilitation Program (Work Center)	· ·
Hospital/Residential Care Facility (Patient Workers)	RODO
School Work Experience Program (SWEP)	Remarks
Business Establishment	
(b) This is (check one):	Employees Paying SMWs? Yes No
☐ Initial Application ☐ Renewal Application	
Has this employer ever previously applied for a 14(c) certificate? Yes No	Number of sites to receive a certificate Issued Returned Denied Withdrawn
Has this employer ever previously held a 14(c)certificate? Yes No	Revoked Date of decision//
If YES, list the most recently held main establishment certificate number:	Print certificate? Yes No WS
2. EMPLOYER INFORMATION	3. PARENT ORGANIZATION (IF DIFFERENT FROM ITEM 2)
Legal Name of Employer	Legal Name of Parent Organization
Trade Name of Employer (If Different)	Trade Name of Parent Organization
Prior Name(s) of Employer (If Changed Since Last Application)	Mailing Address
Street Address	City County State Zip
Mailing Address (If Different From Street Address)	Check here if mail is to be sent to parent organization instead of the employer's address listed in Item 2.
City County State Zip	
Federal Employer Identification Number (EIN)	
Application Contact Person	
Telephone Fax Email Address	
4. EMPLOYER STATUS	
Status (check one): Public (State or Local Government) Private	e, For Profit Private, Not For Profit Other
5. NUMBER OF ESTABLISHMENTS AND WORK SITES	

employment sites (including enclaves), or school work experience program sites, to be covered by this certificate?

Note: A separate Supplemental Data Sheet (WH-226A) must be completed for every establishment or work site for which approval to employ workers with disabilities at subminimum wages is sought. The total number of establishments and work sites listed above should match the Form WH-226 number of WH-226A forms submitted with this application.

6. NUMBER OF WORKERS WITH DI	SABILITIES				
(a) Provide the date that the employ	er's most recently comple	eted fiscal qua	arter ended:/_	/	
(b) Provide the total number of work	ers with disabilities who	were employe	ed during the most red	cently completed	d fiscal quarter at all
establishments and work sites:_					
(c) Provide the number of workers v	vith disabilities employed	for the same	time period in each o	of the following ca	ategories:
Community Rehabilitation Progra	am (Work Center)	Н	ospital/Residential Ca	are Facility (Pati	ent Workers)
School Work Experience Progra	m (SWEP)	В	usiness Establishmer	nt	
7. GOVERNMENT CONTRACTS					
(a) Does this employer manufacture	items for the Federal Go	vernment und	der the PCA?	′es	
(b) Does this employer perform any	services for the Federal	Government (under the SCA?		
Yes No No, but ii	ntend to within the next tw	vo years			
(c) If the answer to Item 7(b) is YES	, what is the total number	r of current So	CA-covered contracts	under which w	orkers with disabilities are
employed and earning subminim	um wages?				
(d) If the answer to Item 7(b) is YES disabilities are employed and ea			e Determinations for	those contracts	on which workers with
(e) Since January 1, 2015, has this subject to Executive Order 1365				s with the Feder	al Government that may be
Yes No No, but in	ntend to within the next tw	vo years			
8. PREVAILING WAGE SURVEY FOR	R WORKERS PAID HOU	RLY WAGE F	RATES		
(a) Did this employer pay hourly sub	minimum wage rates to v	workers with o	disabilities during the	most recently co	ompleted fiscal quarter?
	workers?		No (If NO, procee	-	
(b) What was the contract on which recently completed fiscal quarter	the employer employed t				
Name/No. of Contract					
Description of Work Performed of	on Contract By Workers P	aid Subminin	num Wages		
(c) Complete the information below additional sheet headed "Prevail	for the most recent preva	iling wage su	rvey conducted for th		
Contact Information for	Individual Contacted	Date of	Brief Description	Experienced	Basis for Conclusion Wage
Source Employers (Name, Address, Phone Number)	(Name, Title)	Contact	of Job/Task	Worker Wage Provided	Rate is Not Based on Entry Level
1.					
2.					
2.					
	_				
3.					
	Prevailing wage	determined I	based on this survey:	\$	
					wage determination instead of
completing the chart.	tical to conduct a proveili	na waaa aus	ov Provide the altern	ato wago data a	ource used (e.g., U.S. Bureau
of Labor Statistics (BLS) or p completing the above chart:					
Description of work (including jol	classification code, if kn	own)			
Alternative data source utilized_					a retrieved//
_			·		Ec. 14/11 00/

. WORK MEASUREMENT/TIME STUI	OY FOR WORKERS PA	ID HOURLY	WAGE RATES		
(a) How frequently does the employer	conduct work measure	ments or time	e studies of each wor	ker with a disabi	lity who is paid an hourly
subminimum wage?					
(b) Attach to this application a work m subminimum wage for the same co				ker with a disab	ility who is paid an hourly
0. PREVAILING WAGE SURVEY FOR	WORKERS PAID ON	A PIFCF RA	TF BASIS		
(a) Did this employer employ workers				ere paid piece ra	tes during the most recently
completed fiscal quarter?			_		eed to Item 12 of this form.)
(b) What was the contract on which the piece rates during the most recent	ne employer employed t	he largest nu		_ ` `	,
Name/No. of Contract					
Description of Work Performed on	Contract By Workers P	aid Subminin	num Wages		
(c) Complete the information below fo —Piece Rate" if needed.	r the contract identified	in Item 10(b)	. Attach an additional	sheet headed "I	Prevailing Wage Determination
Contact Information for Source Employers (Name, Address, Phone Number)	Individual Contacted (Name, Title)	Date of Contact	Brief Description of Job/Task	Experienced Worker Wage Provided	Basis for Conclusion Wage Rate is Not Based on Entry Level
1.					
2.					
3.					
	Prevailing wage	determined	based on this survey:	\$	
Check here if the contract ider completing the chart.			- 1		wage determination instead of
Check here if it was not practic of Labor Statistics (BLS) or pri completing the above chart:					
Description of work (including job	classification code, if kn	own)			
Alternative data source utilized	Prevailing	g wage provi	ded by source	Date data	a retrieved//
1. WORK MEASUREMENT/TIME STU		AID ON A DI	ECE DATE DAGIS		
(a) Provide the following information for				age survey listed	above for Item 10(b).
Description of work (e.g. packaging, shrink-wrapping, labe	Prevailing Wag ling) (rate per hour)	ge Determined		dard Productivity s per hour)	Piece Rate Paid to Workers (rate per unit)
(b) Attach all documentation of the me	ethods used to determin	e the standa	rd productivity and the	e piece rate.	
2 DEDDESENTATIVE DAVEE FOR SO	CIAL SECURITY BENE	ELTE			
2. REPRESENTATIVE PAYEE FOR SO Check here if the employer wa such as Supplemental Security recently completed fiscal quart	s a representative paye y Income (SSI) or Socia	e for any wo			eived Social Security Benefits that employee during the most
If checked, what was the total num		abilities for w	hom the facility was a	representative	pavee during the most recently
completed fiscal quarter?					, ,

3. C	REDITING THE REASONABLE COST OF FACILITIES PROVIDED TOWARD MEETING THE MINIMUM WAGE OR SUBMINIMUM WAGE
	ction 3(m) of the FLSA permits employers, under certain circumstances, to count toward its minimum wage obligations the reasonable cost o nishing facilities which are customarily furnished to employees.
	Check here if the employer took credit for the cost of providing facilities, such as board, lodging, and transportation, toward meeting the minimum wage or subminimum wage obligations to workers with disabilities during the most recently completed fiscal quarter.
	Type of deduction (i.e. transportation, rent, meals)
4. T	EMPORARY AUTHORITY:
То	be completed only by a vocational rehabilitation program administered by a state agency or the U.S. Veterans Administration.
	Check here if this is a request for temporary authority to employ workers with disabilities at subminimum wages pursuant to a vocational rehabilitation program of the Veterans Administration for veterans with a service-incurred disability or a vocational rehabilitation program administered by a State agency. A copy of the signed application will constitute the temporary authority provided the application is mailed to the Department of Labor at the address listed at the top of page 1 of this form within ten days of the signing. Temporary authority will exist for 90 days from the date the application is signed and cannot be extended or renewed by the issuing agency.
5. R	EPRESENTATIONS AND WRITTEN ASSURANCES
atta dis	ertify that I have read this form and to the best of my knowledge and belief, all answers and information given in the application and achments are true; that the representations set forth in support of this application to obtain or continue the authorization to pay workers with abilities at subminimum wage rates are true; and I acknowledge that the authorization, if issued or continued, is subject to revocation in cordance with the provisions of 29 C.F.R. part 525.
	epresent that as set forth in the regulations governing the employment of workers with disabilities, the following conditions exist and will natinue to exist:
1.	Workers employed under the authority in 29 C.F.R. part 525 have disabilities for the work to be performed;
2.	Wage rates paid to workers with disabilities under the authority in 29 C.F.R. part 525 are commensurate with those paid experienced workers, who do not have disabilities, in industry in the vicinity for essentially the same type, quality, and quantity of work;
3.	The operations are and will continue to be in compliance with the FLSA, PCA, SCA, and Contract Work Hours and Safety Standards Act (CWHSSA), an overtime statute for Federal contract work, as applicable;
4.	No deductions will be made from the commensurate wages earned by a patient worker to cover the cost of room, board or other services provided by the facility;
5.	Records required under 29 C.F.R. part 525 with respect to documentation of disability, productivity, work measurements or time studies, and prevailing wage surveys will be maintained.
Fui	rther, I certify that:
1.	The wage rates of all hourly-rated employees paid in accordance with FLSA section 14(c) will be reviewed at least every six months; and
2.	Wages paid to all employees under FLSA section 14(c) will be adjusted at periodic intervals, at least once a year, to reflect changes in the prevailing wage paid to experienced workers, who do not have disabilities, employed in the vicinity for essentially the same type of work.
SIC	GNATURE OF AUTHORIZED REPRESENTATIVE
lama	e (print or type) Title
iaiiie	: (print of type)

SEND THE COMPLETED APPLICATION TO THE ADDRESS AT THE TOP OF THE FORM.

Signature

Date

PUBLIC BURDEN STATEMENT

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The Department of Labor estimates that the public reporting burden for this collection of information will average 50 minutes per response for the initial applicant and 75 minutes per response for the renewal applicant, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is mandatory in order to obtain the authority to pay less than the applicable minimum wage. 29 C.F.R. §§ 525.7-9, 12-13. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Administrator, Wage and Hour Division, U.S. Department of Labor, Room S-3502, 200 Constitution Avenue, N.W., Washington, DC 20210 and reference the OMB Control Number.

INSTRUCTIONS FOR APPLICATION FOR AUTHORITY TO EMPLOY WORKERS WITH DISABILITIES AT SUBMINIMUM WAGES (WH-226)

What is the purpose of this form?

This application is to be used to apply for a certificate authorizing the payment of subminimum wages to certain workers with disabilities under section 14(c) of the Fair Labor Standards Act (FLSA) and related provisions of the McNamara-O'Hara Service Contract Act (SCA) and the Walsh-Healey Public Contracts Act (PCA). Payment of subminimum wages to workers with disabilities is only permitted under a valid certificate issued by the United States Department of Labor, Wage and Hour Division. State agencies and the Veterans Administration may also request immediate temporary certificate authority by completing this application.

Responsibility to comply with other laws

An employer with a section 14(c) certificate must comply with all statutory and regulatory provisions of the FLSA. Employers also must comply with all applicable Federal laws, including Executive Order 13658 (Establishing a Minimum Wage for Contractors), the Americans with Disabilities Act (ADA) as amended, the Supreme Court's *Olmstead v. L.C.* decision, and the Rehabilitation Act as amended, as well as applicable state or local requirements. Beginning July 22, 2016, employers also have an affirmative duty to comply with the additional conditions for payment of subminimum wages pursuant to the Rehabilitation Act as amended by the Workforce Innovation and Opportunity Act (WIOA).

Where to file?

Complete one copy of this form and keep a copy for your records. Documents provided will not be returned. Send the completed form with the required attachments to the following address.

U.S. Department of Labor Wage and Hour Division 230 South Dearborn Street, Room 514 Chicago, Illinois, 60604 (312) 596-7195

Failure to provide any required information may delay the processing of the application or result in the application being returned or denied. Additional guidance is provided in the regulations at 29 C.F.R. part 525.

Initial Applicants

Initial applicants are required to complete Items 1 - 4, 7, and 12 - 15 of form WH-226, and Items 1 - 3 on the Supplemental Data Sheet, WH-226A. Initial applicants must also provide any information available at the time of application that is responsive to all other items on both forms.

Renewal Applicants

Renewal applicants are required to complete all items on this form and the Supplemental Data Sheet, WH-226A.

ITEM INSTRUCTIONS

Item 1

(a) Refer to the following definitions:

Community Rehabilitation Program (Work Center): A facility that primarily provides vocational rehabilitation services and employment for people with disabilities.

Hospital/Residential Care Facility (Patient Worker): A facility (public or private, non-profit or for-profit) that primarily provides residential care for individuals with disabilities, including but not limited to nursing homes, intermediate care facilities, assisted living facilities, halfway houses, and residential substance abuse treatment facilities. "Primarily" means that more than 50 percent of the facility's income is attributable to this residential care.

Patient Worker: A worker with a disability who is employed by a hospital or residential care facility (as defined above) where the patient worker receives inpatient or outpatient treatment or care.

School Work Experience Program (SWEP): A school-operated program in which students with disabilities may be placed in jobs with private industry within the community. School employers are responsible for compliance with all applicable child labor laws, minimum wage standards, and certificate and recordkeeping requirements. The school may submit a group application which covers all students with disabilities and all of the business locations at which the students will be placed.

Business Establishment: Any employer other than a community rehabilitation program, hospital/residential care facility, or SWEP.

(b) Initial applicants are those who do not currently hold a valid section 14(c) certificate.

Renewal applicants are employers who currently hold a valid certificate.

Item 2

Provide the full legal and trade name(s) of the employer, and previous name, if applicable. SWEPs should enter the identifying information for the school that is applying for the certificate.

The Application Contact Person should be a person who can best answer questions concerning information contained on this application.

Item 3

SWEPs should enter the school district's information in Item 3.

Item 4

Check the box that describes the employer's status. For example, a SWEP operated by a public school system should check "Public."

Item 5

Provide the total number of work sites for which the employer is seeking approval to employ workers at subminimum wages. Count all work sites, including the main establishment and any branch establishments, enclave or supported employment sites, and/or SWEP work sites. All applicants must attach a separate WH-226A for each establishment or work site. Refer to the WH-226A instructions for guidance.

Main Establishment: The primary location of the employer that files this application on behalf of all its associated work sites. (There can only be one main establishment.)

Branch Establishments: A branch establishment is a physically separate work site that is part of the same organization as the main establishment.

Supported Employment Site/Enclave: A **supported employment site** is a work site typically on the premises of a separate establishment, where workers with disabilities are placed in work settings along with job coaches (staff of the rehabilitation or work center). An **enclave** is a supported employment work site where a group of workers with disabilities works under supervision of staff from the rehabilitation or work center.

Item 6

- (a) Provide the ending date of the employer's most recently completed three-month *fiscal quarter*. For example, if the fiscal year begins on January 1, provide the date of the most recently completed quarter (March 31, June 30, September 30, or December 31).
- (b) Provide the total number of workers with disabilities who were paid subminimum wages at all establishments and work sites during the most recently completed fiscal quarter. Include workers who were employed for less than the full fiscal quarter, *i.e.* three-month period.
- (c) Provide the number of workers with disabilities for the same period employed in the specified categories. Refer to the definitions provided in the instructions for Item 1(a).

Item 7

Check the appropriate box if the employer has, or intends to receive, any contracts with the Federal Government subject to the Walsh-Healey Public Contracts Act (PCA), the McNamara-O'Hara Service Contract Act (SCA), and/or Executive Order 13658, Establishing a Minimum Wage for Contractors. If the employer had one or more SCA-covered contracts during the last completed fiscal quarter, provide the total number of SCA contracts and attach the SCA wage determinations for each contract.

Section 14(c) workers performing on or in connection with a contract covered by Executive Order 13658 are generally entitled to be paid at least the Executive Order minimum wage. Additional information about contracts with the Federal Government can be found at www.dol.gov/whd/govcontracts/.

Item 8

This Item is only seeking information related to workers paid an hourly subminimum wage rate.

- (a) Count the total number of workers paid an *hourly* subminimum wage rate at any time during the most recently completed fiscal quarter that ended on the date listed in Item 6(a).
- (b) Identify the contract on which the most workers were employed at an *hourly* subminimum wage during the most recently completed fiscal quarter. Provide a brief description of the work performed by workers paid subminimum wages (e.g., Contract No. 123-456 with Sheets Inc. Laundry Service).
- (c) Complete the chart with information from the most recently completed prevailing wage survey for that contract. If the employer used more than three sources, attach an additional sheet labeled "Item 8 Prevailing Wage Determination" and provide the information obtained from all sources. (For information on Prevailing Wages, see Fact Sheet #39B: Prevailing Wages and Commensurate Wages under Section 14(c) of the FLSA.)

The source employers surveyed should be located in the geographic area from which the labor force of the applicant is drawn. The sources for the jobs surveyed should use similar methods and equipment as the job for which this rate will apply. The wage rate collected from each source should be the hourly rate paid to *experienced* (not entry level) workers who do not have disabilities that affect productive capacity. An experienced worker is a worker who has learned the basic requirements of the work to be performed, ordinarily by completion of a probationary or training period. Typically, an experienced worker will have received at least one pay raise after successful completion of the probationary or training period.

The prevailing wage rate determined from the surveys may be calculated by using a weighted or straight average, but the same method should be used to calculate all of the employer's prevailing wage rates.

Contact Information for	Individual Contacted	Date of	Brief Description	Experienced	Basis for Conclusion Wage
Source Employers	(Name, Title)	Contact	of Job/Task	Worker Wage	Rate is Not Based on Entry
(Name, Address, Phone Number)				Provided	Level
1. XYZ, Inc., 100 Oak St., My Town, USA, (000) 222-3333	Mary Jones, HR Manager	July 20, 2015	Hand assembly of cardboard shipping boxes	\$11.55	Entry rate = \$10
2.ABC, Inc., 245 Lincoln Ave, My Town, USA, (000) 333-4444	Bob Rogers, Payroll Specialist	July 24, 2015	Assembly of cake boxes	\$10.70	Entry rate = \$9.50; raise given after probationary period
3. RST, Ltd., 990 Monroe Dr, My Town, USA, (000) 444-5555	Sue Martinez, President	July 24, 2015	Assembly of pizza boxes	\$10.95	Contact confirmed rate was for experienced workers.

Prevailing wage determined based on this survey: \$11.07

If conducting surveys is not practical and the employer instead uses U.S. Bureau of Labor Statistics (BLS) or alternative wage rates, identify the alternative source used (e.g., BLS Occupational Employment Survey; BLS Current Population Survey), the prevailing wage, the job classification (if applicable) provided by the source, and the date that the data was obtained. BLS wage data can be found at www.bls.gov/bls/blswage.htm.

Item 9

This Item is only seeking information related to workers paid an hourly subminimum wage rate.

- (a) Indicate how frequently the employer conducts work measurements or time studies of each worker with a disability who is paid an hourly subminimum wage.
- (b) Select one time study for a worker who was paid an hourly subminimum wage under the same contract and job reflected in Item 8(b). The time study provided must be the most recent time study conducted for that worker. The hourly rate time study provided should include the productivity rating and evaluation forms used to determine the employee's commensurate wage rate. The documentation should include all materials related to the work measurement, such as:
 - detailed task analysis (including quality and quantity measures),
 - wage and productivity of an experienced worker who is not disabled for the work performing the same job (i.e., "standard setter"), and
 - determination of the worker's individual productivity.

Item 10

This Item is only seeking information related to workers paid on a piece rate basis who received a subminimum wage.

- (a) Count the total number of workers paid on a *piece rate* basis that resulted in a subminimum wage at any time during the most recently completed fiscal quarter that ended on the date listed in Item 6(a).
- (b) Identify the contract on which you employed the most workers who were paid on a *piece rate* basis that resulted in a subminimum wage during the most recently completed fiscal quarter. Provide a brief description of the work performed by workers paid subminimum wages (for example, *Contract No. 000-111 with Widgets Inc.—Hand Assembly of Boxes (28" x 12")*).
- (c) Following the instructions provided under Item 8(c), complete the chart with information from the most recently completed prevailing wage survey for this contract.

Item 11

This Item is only seeking information related to workers paid on a piece rate basis who received a subminimum wage.

- (a) Provide a current piece rate work measurement or time study for the contract and job reflected in the prevailing wage survey provided in Item 10(b). Provide the description of the job tasks, the hourly prevailing wage for the job, the standard productivity (units per hour), and the piece rate paid to workers (rate per unit).
- (b) Attach all documentation of the methods used to determine the standard productivity and the piece rate, such as:
 - detailed task analysis (including quality and quantity measures), and
 - productivity of an experienced worker who is not disabled for the work performing the same job (i.e., "standard setter").

Item 12

If the employer was a representative payee for any worker with disabilities who received Social Security benefits during the most recently completed fiscal quarter, provide the total number of employees for whom the employer was the representative payee.

Item 13

Check this box if the employer provided facilities such as lodging, board, and transportation to any employee, and took credit for those costs toward meeting the minimum wage or subminimum wage obligations to employees with disabilities during the most recently completed fiscal quarter. See 29 C.F.R. § 531 and 29 C.F.R. § 516. Provide a brief description of the type of deduction taken by the employer (e.g., transportation, rent, meals).

Item 14

Check this box only if the application is being filed by a vocational rehabilitation program administered by a State agency or the U.S. Veterans Administration. See 29 C.F.R. § 525.8.

Item 15

An authorized representative of the employer must sign certifying to the information included in this item. SWEP applications must be signed by the school counselor or coordinating official.